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6.1 Summary of Health Risk Investigations	Version 1.0

Module 6 : Research

6.1 Summary of Health Risk Investigations

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1. INTRODUCTION

Philip Morris Products S.A. (PMP S.A.) is providing only new information and data that PMP S.A. has not provided to FDA in earlier submissions. Scientific evidence and information related to the *IQOS* products in the scope of this renewal, as supplied with the initial MRTPA and PMTA for the Authorized *IQOS* 2.4 System Holder and Charger (PM0000479 and MR0000133), *HeatSticks* (PM0000424 - PM0000426 and MR0000059 - MR0000061) and the *IQOS* 3.0 System Holder and Charger (PM0000634 and MR0000192), remains valid and, therefore, is cross-referenced.

2. AEROSOL CHEMISTRY AND PHYSICS

PMP S.A. does not have any new information or data related to the aerosol characterization.

Scientific evidence and information related to the aerosol chemistry and physics that was supplied with the initial MRTPA and PMTA for the Authorized *IQOS* 2.4 System Holder and Charger (PM0000479 and MR0000133), *HeatSticks* (PM0000424 - PM0000426 and MR0000059 - MR0000061) and the *IQOS* 3.0 System Holder and Charger (PM0000634 and MR0000192) remain valid and do not require reanalysis.

3. NON-CLINICAL

PMP S.A. does not have any new information or data related to nonclinical toxicology.

Scientific evidence and information related to the non-clinical toxicology that was supplied with the initial MRTPA and PMTA for the Authorized *IQOS* 2.4 System Holder and Charger (PM0000479 and MR0000133), *HeatSticks* (PM0000424 - PM0000426 and MR0000059 - MR0000061) and the *IQOS* 3.0 System Holder and Charger (PM0000634 and MR0000192) remain valid and do not require reanalysis.

4. CLINICAL INDIVIDUAL HEALTH

4.1. Summary of Health Risk Investigations

In the original MRTPA, the clinical program included multiple studies across countries to characterize the *IQOS* (referred as THS in the scientific documents) risk profile in adult smokers of cigarettes who switched to the product. Those studies were reviewed by the FDA as part of the initial MRTPs (MR0000059-MR0000061 and MR0000133) and their associated amendments¹ and consisted of [Table 1](#):

¹ Amendment to MR0000059-MR0000061 - Additional information – Clinical Study ZRHR-ERS-09-US, submitted on June 8, 2018.

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Table 1 Data Submitted in the Original MRTP Application

Study Type	Study Name	Disclosure	Short Description
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Study Type	Study Name	Disclosure	Short Description
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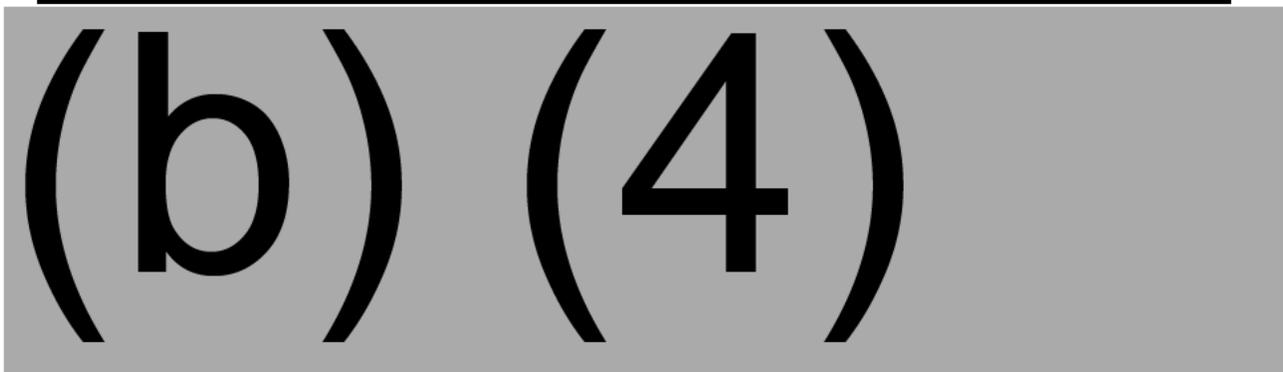


The results of these studies, together with the submission of others scientific evidence, led the FDA to conclude: *“The applicant provided compelling evidence that the IQOS system does not combust tobacco and accompanying aerosol data showing dramatic reductions across a wide range of HPHCs identified by FDA. The applicant also demonstrated that BOEs to many HPHCs dropped significantly and approached the levels seen with complete cessation. Although the use of the IQOS system clearly still exposes users to HPHCs and would be expected to cause harm, such dramatic changes in exposure relative to combusted cigarettes are reasonably likely to, in general, translate to lower risk of tobacco-related morbidity and mortality.”*

Since the submission of the original MRTPA additional clinical studies were completed, and progress with these studies was reported as part of Annual Reports. Since additional results from the ongoing clinical program are available, these are discussed as part of this renewal, as summarized in [Table 2](#).

Table 2 New Data Presented in this MRTP Application Renewal⁴

Study Type	Study Name	Disclosure	Short Description
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³ Amendment to MR0000059-MR0000061 - Additional information – Clinical Study ZRHR-ERS-09-US, submitted on June 8, 2018

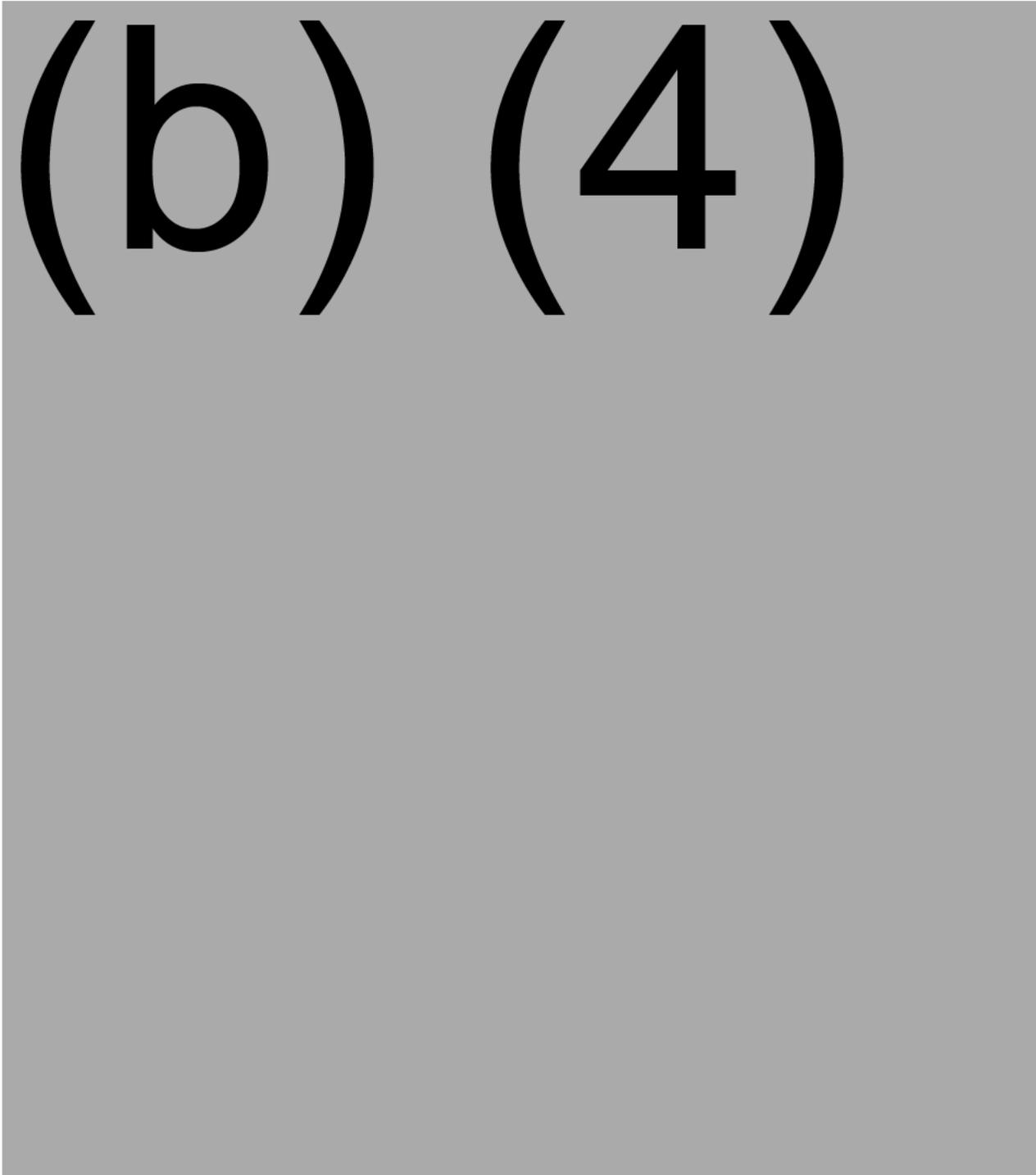
⁴ Also see [Appendix 7-a07-clin-ind-health-additional-studies](#)

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Study Type	Study Name	Disclosure	Short Description
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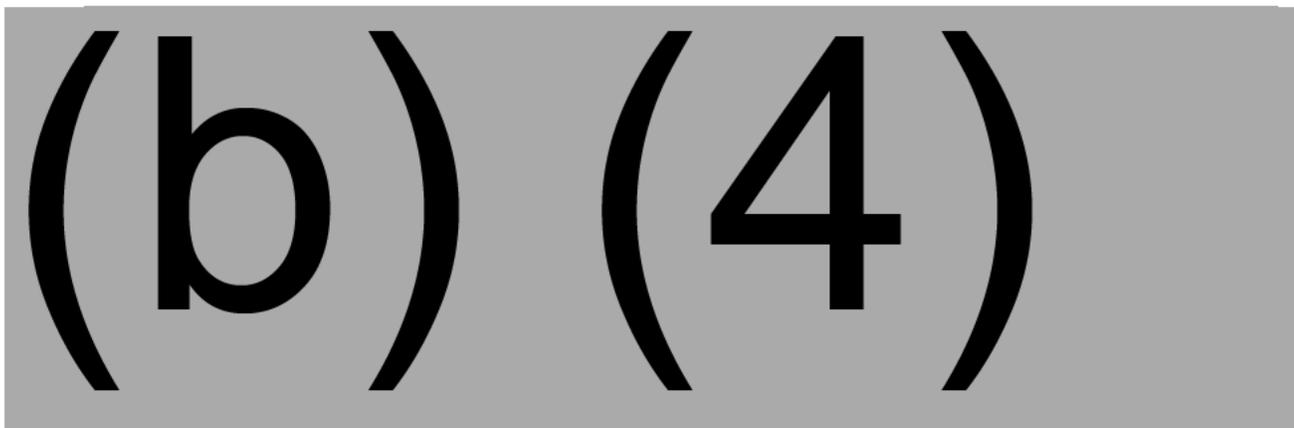


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Study Type	Study Name	Disclosure	Short Description
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4.2. Overall Summary of Clinical Evidence

Additional findings are presented in [Appendix 7-a07-clin-ind-health-additional-studies \(paragraph 1.1.5 and 1.1.6\)](#) to further support the original MRTP application, (b) (4)



These data therefore further support the FDA's decision to issue the MRGO.

Furthermore, some of these clinical studies demonstrated (b) (4)



and is further summarized in [Appendix 7-a07-clin-ind-health-additional-studies \(paragraph 1.1.5 and 1.1.6\)](#) and in [22].

⁵ Scientific Review of Modified Risk Tobacco Product Application (MRTPA) Under Section 911(d) of the FD&C Act – Technical Project Lead, FDA, 06 July 2020

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4.3. Literature Review on IQOS Use and Disease Risk

4.3.1. Introduction

Clinical studies have demonstrated that the reduction of exposure to HPHC, upon switching from cigarettes to IQOS, is associated with favorable changes in key relevant BoPH in IQOS users (vs. CC smokers), in line with the smoking cessation data reported both in the literature and observed in our own smoking cessation study. Considering that smoking cessation is well established to decrease the risk of the main diseases attributable to smoking (e.g., CVD, COPD, cancer), the findings on IQOS likely translate further into a reduced risk for these diseases compared to continued smoking. Some epidemiological data, published recently by independent scientists, corroborate these statements. A literature review was conducted to summarize published data on the associations between HTP use and risk of CVD, stroke, COPD, cancer, and diabetes.

4.3.2. Methods



4.3.3. Results

Results are presented in [Appendix 7-a08-ind-health-lit-review-results](#).

4.3.4. Conclusion

None of the included studies was conducted in the US, as the prevalence of HTP use is very low in the US (<0.5%). However, while prevalence estimates may not be generalizable to the U.S. population, results from association studies should be generalizable. Thus, the findings of the review can be contextualized to the general U.S. adult population.

The findings of this review, mainly based on a large cohort study from South Korea, demonstrated that switching from CC to NNTP (THS and electronic cigarettes vs continuous CC smoking) is associated with a lower risk of CVD and stroke. The health benefits of switching from CC to NNTP

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regarding COPD risk appeared to wane over time. However, among COPD patients, switching from CC to THS was associated with substantial improvement in health outcomes, including decreased COPD exacerbations and COPD assessment test scores, irrespective of years since switching. The follow-up period of the available data on NNTP use and lung cancer risk was too short to enable a thorough assessment of the benefits of switching from CC to NNTP in the population.

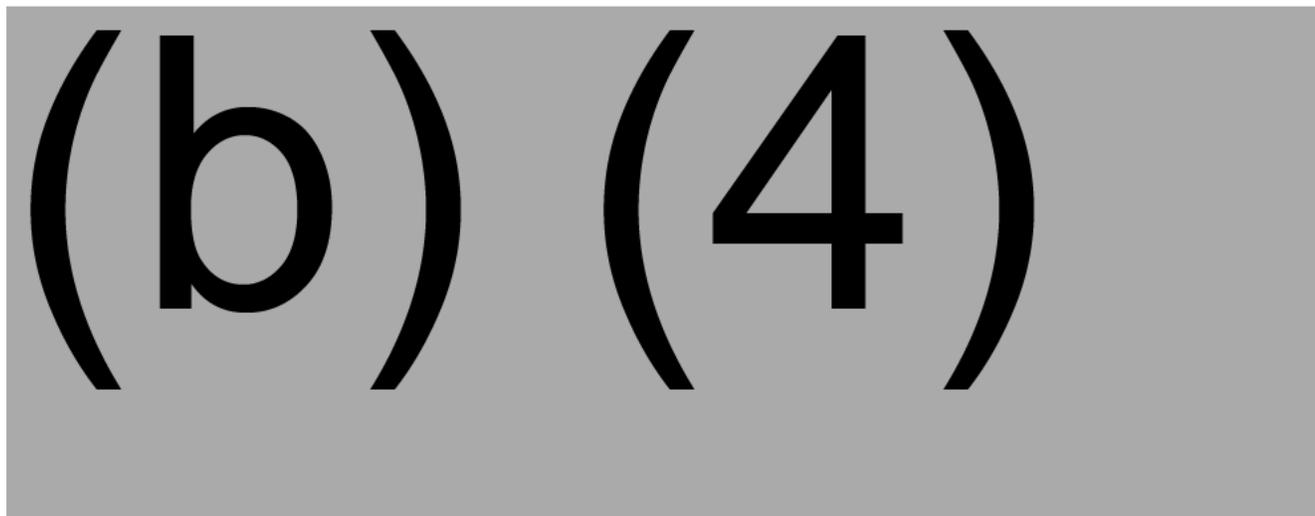
5. CLINICAL POPULATION HEALTH – LITERATURE REVIEW

5.1. Introduction

Data from individual participant studies have demonstrated a lower risk of smoking-related diseases (SRDs), including CVD and COPD, in CC smokers who switch to THS as compared to those who continue to smoke CC (see [section 4.3](#)). However, there is a need to also evaluate whether the improvements in health outcomes associated with THS use at the individual level extend to the population level. (b) (4)

a literature review was conducted to summarize published data on the population health impacts of THS use in comparison to CC smoking. Given that studies assessing the impact of HTP use on population health outcomes do not distinguish between HTP variants (e.g., IQOS, PLOOM), relevant studies evaluating HTPs in general were included in the review.

5.2. Methods



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5.3. Results

Results are presented in [Appendix 7-a09-pop-health-lit-review-results](#).

5.4. Conclusion

Even though none of the included studies were conducted in the United States, the results of the included studies are consistent with those from the U.S.-based studies published before the literature search period, which showed that THS use would result in significant decline in CC prevalence, all-cause mortality, and SADs and improved life expectancy [26-28].

The findings of the review thus suggest that THS use (vs. CC smoking only) would result in significant reduction in the prevalence of CC smoking and SADs and improvement in life expectancy. These findings indicate that the improvements in health outcomes reported by individual-level characteristic data extend to the population level.

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